

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

KENNETH C. JOHNSON ET AL.

Appln. No.: 09/927,177

Filed: August 10, 2001

For: DATABASE INTERPOLATION  
METHOD FOR OPTICAL  
MEASUREMENT OF  
DIFRACTIVE  
MICROSTRUCTURES

Group Art: 2857

Examiner: Unknown

**REVOCATION OF PRIOR POWERS  
AND APPOINTMENT OF NEW  
COUNSEL BY ASSIGNEE**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

SENSYS INSTRUMENTS CORPORATION, assignee of the entire right title and interest in the above-identified application by assignment dated October 4, 2001, which assignment was recorded in the Patent and Trademark Office on October 15, 2001, at Reel 012258, frame 0700, hereby revokes all prior powers of attorney and appoints the members of the firm of STALLMAN & POLLOCK LLP, a firm composed of:

Michael A. Stallman 29,444 Kathleen A. Frost 37,326 Stephen M. Everett 30,050  
Michael J. Pollock 29,098 Brian J. Keating 39,520 Joseph K. Hollinger 40,649

as its attorneys with full power of substitution to prosecute this application and to transact all business in the Patent and Trademark Office in connection therewith.

Please direct all correspondence regarding this application to the following:

STALLMAN & POLLOCK LLP  
Attn: Michael A. Stallman  
121 Spear Street, Suite 290  
San Francisco, CA 94105

Telephone: (415) 512-1312  
Facsimile: (415) 512-1362

Dated: 4-2-03

SENSYS INSTRUMENTS CORPORATION

By: Talat Hasan

Name: TALAT HASAN

Title: PRESIDENT

DECEMBER 27, 2001

PTAS

LAW OFFICES OF THOMAS SCHNECK  
THOMAS SCHNECK  
P.O. BOX 2-E  
SAN JOSE, CALIFORNIA 95109-0005

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov



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RECORDATION DATE: 10/15/2001

REEL/FRAME: 012258/0700  
NUMBER OF PAGES: 6

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
JOHNSON, KENNETH C.

DOC DATE: 10/04/2001

ASSIGNOR:  
STANKE, FRED E.

DOC DATE: 10/04/2001

ASSIGNEE:  
SENSYS INSTRUMENTS CORPORATION, A  
CORP. OF CALIFORNIA  
2090 DUANE AVENUE  
SANTA CLARA, CALIFORNIA 95054

✓ SERIAL NUMBER: 09927177  
PATENT NUMBER:                     

FILING DATE: 08/10/2001  
ISSUE DATE:

ALLYSON PURNELL, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

10-23-2001



101882707

U.S. Department of Commerce  
Patent and Trademark Office  
**PATENT**

**RECORDATION FORM COVER SHEET  
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**Submission Type**

☒ New

☐ Resubmission (Non-Recordation)

Document ID#

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Reel #

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**Conveyance Type**

☒ Assignment

☐ Security Agreement

☐ License

☐ Change of Name

☐ Merger

☐ Other

**U.S. Government**

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☐ Departmental File

☐ Secret File

**Conveying Party(ies)**

☐ Mark if additional names of conveying parties attached

Name (line 1) Kenneth C. Johnson

Execution Date  
Month Day Year  
10 04 2001

Name (line 2)

**Second Party**

Name (line 1) Fred E. Stanke

Execution Date  
Month Day Year  
10 04 2001

Name (line 2)

**Receiving Party**

☐ Mark if additional names of receiving parties attached

Name (line 1) SENSYS INSTRUMENTS CORPORATION

Name (line 2) a California corporation

☐ If document to be recorded is an assignment and the receiving party is not domiciled in the United States, an appointment of a domestic representative is attached. (Designation must be a separate document from Assignment.)

Address (line 1) 2090 Duane Avenue

Address (line 2)

Address (line 3) Santa Clara

City

California

State/Country

95054

Zip Code

**Domestic Representative Name and Address**

Enter for the first Receiving Party only.

Name

Address (line 1)

Address (line 2)

Address (line 3)

Address (line 4)

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Mail documents to be recorded with required cover sheet(s) information to:  
Commissioner of Patents and Trademarks, Box Assignments, Washington, D.C. 20231

**Correspondent Name and Address**

Area Code and Telephone Number (408) 297-9733

Name Thomas Schneck

Address (line 1) Law Offices of Thomas Schneck

Address (line 2) P.O. Box 2-E

Address (line 3) San Jose, CA 95109-0005

Address (line 4)

**Pages**

Enter the total number of pages of the attached conveyance document including any attachments.

# 6

**Application Number(s) or Patent Number(s)**

☐ Mark if additional numbers attached

Enter either the Patent Application Number or the Patent Number (DO NOT ENTER BOTH numbers for the same property).

Patent Application Number(s)

09/927,177

Patent Number(s)

If this document is being filed together with a new Patent Application, enter the date the patent application was signed by the first named executing inventor.

Month Day Year

**Patent Cooperation Treaty (PCT)**

Enter PCT application number  
only if a U.S. Application Number  
has not been assigned.

PCT PCT PCT  
PCT PCT PCT

**Number of Properties**

Enter the total number of properties involved.

# 1

**Fee Amount**

Fee Amount for Properties Listed (37 CFR 3.41): \$ 40.00

Method of Payment:  
Deposit Account

Enclosed ☒

Deposit Account ☐

(Enter for payment by deposit account or if additional fees can be charged to the account.)

Deposit Account Number:

# 19-0590

Authorization to charge additional fees:

Yes

☒

No

☐

**Statement and Signature**

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document. Charges to deposit account are authorized, as indicated herein.

Thomas Schneck

Name of Person Signing

*Thomas Schneck*

Signature

October 11, 2001

Date

A S S I G N M E N T

WHEREAS, the undersigned, KENNETH C. JOHNSON, having an address of 2502 Robertson Road, Santa Clara, County of Santa Clara, State of California 95051; and FRED E. STANKE, having an address of 22873 Longdown Road, Cupertino, County of Santa Clara, State of California 95014 (hereinafter termed Assignors) have co-invented certain new and useful improvements in an invention entitled: DATABASE INTERPOLATION METHOD FOR OPTICAL MEASUREMENT OF DIFFRACTIVE MICROSTRUCTURES; filed August 10, 2001 and assigned Serial No. 09/927,177;

WHEREAS, SENSYS INSTRUMENTS CORPORATION, a corporation of the State of California, having an address of 2090 Duane Avenue, Santa Clara, California 95054 (hereinafter termed Assignee) is desirous of acquiring the entire right, title and interest in and to said application and said invention and improvements thereon, and in and to Letters Patent thereon when granted in the United States and foreign countries;

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) and for other good and valuable consideration received by said Assignors from said Assignee, the receipt and sufficiency of which in full are hereby acknowledged by said Assignors:

1. Said Assignors do hereby sell, assign, transfer and convey unto said Assignee, the entire right, title and interest in and to said application and said invention and in and to any and all improvements on said

invention heretofore or hereafter made or acquired by said Assignors; and in and to any and all Letters Patent on said invention and/or said improvements that may be granted in the United States or any foreign country, including each and every Letters Patent granted on any application which is a division, continuation, substitution, renewal, or continuation-in-part of any of said application specifically identified herein, and in and to each and every reissue or extension of said Letters Patent.

2. Said Assignors hereby covenant and agree to cooperate with said Assignee whereby said Assignee may enjoy to the fullest extent the right, title and interest herein conveyed. Such cooperation shall include (a) prompt execution of all papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee to perfect in it the right, title and interest herein conveyed; (b) prompt execution of all petitions, oaths, specifications or other papers (prepared at the expense of Assignee) which are deemed necessary or desirable by Assignee for prosecuting said application, for filing and prosecuting divisional, continuation, substitution, renewal, continuation-in-part, or additional applications in the United States and/or foreign countries covering said invention and/or said improvements, for filing and prosecuting applications for reissuance of Letters Patent included herein, or for interference proceedings involving said invention and/or said improvements; (c) prompt assistance and cooperation in the prosecution of interference proceedings involving said invention and/or said improvements and in the adjudication of said Letters Patent, particularly by the disclosure of

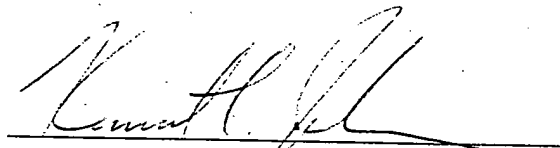
facts and the production of evidence relating to said invention and/or said improvements, provided the expenses which may be incurred by said Assignors in lending such assistance and cooperation shall be paid by the Assignee.

3. The terms, covenants and conditions of this Assignment shall inure to the benefit of said Assignee, its successors, assigns and/or other legal representatives, and shall be binding upon said Assignors, their heirs, legal representatives and assigns.

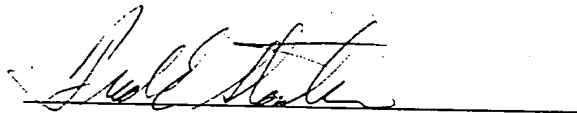
4. Said Assignors hereby warrant and represent that they have not entered into any assignment, contract or understanding in conflict therewith.

IN WITNESS WHEREOF, these said Assignors have executed and delivered this instrument on the dates shown below.

Date: Oct 4 2001

  
KENNETH C. JOHNSON

Date: 4 Oct 2001

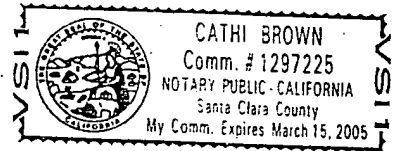
  
FRED E. STANKE

State of California )  
 ) s.s..  
County of Santa Clara )

On 4 October, 2001, before me Cathi Brown,  
personally appeared KENNETH C. JOHNSON, personally known to  
me (or proved to me on the basis of satisfactory evidence)  
to be the person whose name is subscribed to the within  
instrument and acknowledged to me that he executed the same  
in his authorized capacity, and that by his signature on  
the instrument the person, or the entity upon behalf of  
which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Cathi Brown (Seal)

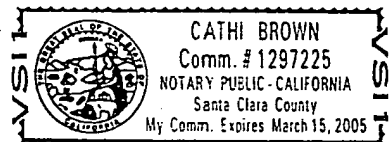


State of California )  
 ) s.s..  
County of Santa Clara )

On 4 October, 2001, before me Cathi Brown,  
personally appeared FRED E. STANKE, personally known to me  
(or proved to me on the basis of satisfactory evidence) to  
be the person whose name is subscribed to the within  
instrument and acknowledged to me that he executed the same  
in his authorized capacity, and that by his signature on  
the instrument the person, or the entity upon behalf of  
which the person acted, executed the instrument.

WITNESS my hand and official seal.

Signature Cathi Brown (Seal)





Please type a plus sign (+) inside this box → ☐

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<b>DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION</b> (37 CFR 1.63)	<b>Attorney Docket Number</b>	SEN-016
	<b>First Named Inventor</b>	Kenneth C. Johnson
	<b>COMPLETE IF KNOWN</b>	
	<b>Application Number</b>	/
	<b>Filing Date</b>	
	<b>Group Art Unit</b>	
<input checked="" type="checkbox"/> Declaration Submitted with Initial Filing	OR	<input type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16 (e)) required)
<b>Examiner Name</b>		

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**DATABASE INTERPOLATION METHOD FOR OPTICAL MEASUREMENT OF DIFFRACTIVE MICROSTRUCTURES**

the specification of which  
☒ is attached hereto  
OR  
☐ was filed on (MM/DD/YYYY) [ ] as United States Application Number or PCT International Application Number [ ] and was amended on (MM/DD/YYYY) [ ] (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
				YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(e) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.
60/224,451 60/270,956	08/10/2000 02/22/2001	

(Page 1 of 2)  
Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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## DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of 35 U.S.C. 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

☒ Customer Number **003897**

OR

☒ Registered practitioner(s) name/registration number listed below

Place Customer Number Bar Code Label here

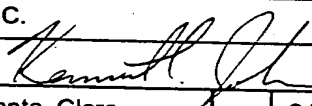
Name	Registration Number	Name	Registration Number
Thomas Schneck	24,518	David M. Schneck	43,094
Mark Protsik	31,788	Gina McCarthy	42,986
John P. McGuire, Jr.	41,984		

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number **003897** OR ☒ Correspondence address below

Name	Thomas Schneck				
Address	P.O. Box 2-E				
Address					
City	San Jose	State	CA	ZIP	95109-0005
Country	USA	Telephone	408/297-9733	Fax	408/297-9748

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such wilful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))		Family Name or Surname			
Kenneth C.		Johnson			
Inventor's Signature				Date	Aug 9 2001
Residence: City	Santa Clara	State	CA	Country	U.S.A.
Post Office Address	2502 Robertson Road				
Post Office Address					
City	Santa Clara	State	CA	ZIP	95051
Country	U.S.A.				

☒ Additional inventors are being named on the 1 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto

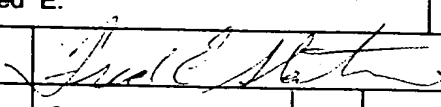
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<b>DECLARATION</b>	<b>ADDITIONAL INVENTOR(S)</b> Supplemental Sheet Page <u>1</u> of <u>1</u>
--------------------	--

Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Fred E.				Stanke			
Inventor's Signature				Date	10 Aug 01		
Residence: City	Cupertino	State	CA	Country	U.S.A.	Citizenship	U.S.A.
Post Office Address	22873 Longdown Road						
Post Office Address							
City	Cupertino	State	CA	ZIP	95014	Country	U.S.A.
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle [if any])				Family Name or Surname			
Inventor's Signature				Date			
Residence: City		State		Country		Citizenship	
Post Office Address							
Post Office Address							
City		State		ZIP		Country	

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